

## Carey, Sheila

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**From:** McFadden, Elizabeth  
**Sent:** December 22, 2004 1:09 PM  
**To:** Jones, C. Todd; Saunders, Azalea; Vogel, Jonathan; Carey, Sheila; Rudolph, Kim; Howard, Khrriss; McGrath, Jack  
**Cc:** Rosen, Jeff; Gregory, Wava; Reeves, Alfreda; Egan, Amy; Fuller, Carole  
**Subject:** FW: Review and Clearance - AN - FY 2005 - Positive Psychology

This is just a reminder to provide Carole Fuller and me with your concurrence and/or comments on the attached application notice.

Thank you.

Elizabeth McFadden

-----Original Message-----

**From:** Fuller, Carole  
**Sent:** Monday, December 13, 2004 8:34 AM  
**To:** Carey, Sheila; Harding, Natasha; Howard, Khrriss; Jones, C. Todd; March, Greg; McFadden, Elizabeth; McGrath, Jack; Miller, Meredith; Rudolph, Kim; Saunders, Azalea; Vogel, Jonathan; Wade, Maria; Winters, Deborah; Wolfe, Christine  
**Cc:** Gregory, Wava; Rosen, Jeff; Friday, Melzetta; Reeves, Alfreda  
**Subject:** Review and Clearance - AN - FY 2005 - Positive Psychology

Attached are the clearance sheet and the Application Notice for FY 2005 for Special Demonstration Programs--Model Demonstration Projects--Positive Psychology (RSA/OSERS) - 84.235A.



373N25-1.doc



1 - AN - Positive  
Psychology '...

**Since I will be away from the office, please send your clearances and comments to me AND to Elizabeth McFadden, Assistant General Counsel for Regulations (6E227 FB-6).**

Please review the attached application notice and return your clearance sheet with your comments, if any, or e-mail your response and any comments **to me and to Elizabeth McFadden** by December 20, 2004.

Thank you,

Carole Fuller  
6E206 FB-6  
401-6332

1020-0018  
Exp. 2/28/07

**APPLICATION NOTICE**  
REGULATIONS CLEARANCE SHEET  
OFFICE OF THE GENERAL COUNSEL  
DIVISION OF REGULATORY SERVICES (DRS)

DRAFT NO: 1

DATE: December 13, 2004

Please review the attached document, check the appropriate box below, sign, and return with your comments, if any, or e-mail your response and any comments to **Carole Fuller and Elizabeth McFadden** by the due date.

\_\_\_\_ Ex. Sec. (Deborah Winters) 7C100  
FB-6

\_\_\_\_ ODS (C. Todd Jones) 7W206 FB-6

\_\_\_\_ OUS (Meredith Miller) 7E320 FB-6

\_\_\_\_ OUS (Christine Wolfe) 7E310 FB-6

\_\_\_\_ Budget Service (Maria Wade) 5W311 FB-6

\_\_\_\_ PPSS (Azalea Saunders) 6W224 FB-6

\_\_\_\_ Deputy General Counsel for  
Postsecondary & Regulatory Service  
(Jonathan Vogel) 6E339 FB-6

\_\_\_\_ Assistant General Counsel for  
Regulations (Elizabeth McFadden) 6E227  
FB-6

\_\_\_\_ Program Attorney Jeff Rosen  
Room 6E202 FB-6  
Telephone 401-8316

\_\_\_\_ OCFO (Blanca Rodriguez, Charlesetta  
Griffin, Natasha Harding, GPOS), 3652  
ROB-3)

\_\_\_\_ IMG (Sheila Carey, Kim Rudolph) 4050  
ROB-3

\_\_\_\_ Khris Howard, 4E255 FB-6 (e-Application  
language)

\_\_\_\_ Legislation & Congressional Affairs  
(Jack McGrath) 6W332 FB-6

\_\_\_\_ OSERS (Greg March) 5095 Potomac  
Center Plaza

\_\_\_\_ Wava Gregory, 5W117 FB-6

Program Contact: Alfreda Reeves

Program Scheduler: Melzetta Friday

Check one:

- ☒ Approved
- ☐ Approved subject to minor changes noted.
- ☐ Not approved -- see comments.

TYPE AND TITLE Application Notice - FY 2005 - Special Demonstration Programs -- Model  
DOCUMENT

Demonstration Projects -- Positive Psychology

RETURN TO: Carole L. Fuller  
(inbox - Room 6E217 FB-6 or  
office - Room 6E206 FB-6)

DUE DATE: December 20, 2004

SIGNATURE: Sheila Carey

DATE: 12-23-04

NOTE: \_\_\_\_\_

computer i.d. #51 - 373N25-1

CFDA Nos 84.235A

4000-01-U

DEPARTMENT OF EDUCATION

Office of Special Education and Rehabilitative Services

Overview Information

Special Demonstration Programs--Model Demonstration

Projects--Positive Psychology

Notice inviting applications for new awards for fiscal year  
(FY) 2005.

Catalog of Federal Domestic Assistance (CFDA) Number:

84.235A

Dates:

Applications Available: (INSERT DATE OF PUBLICATION IN THE  
FEDERAL REGISTER).

Deadline for Transmittal of Applications: (INSERT DATE 45  
DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Deadline for Intergovernmental Review: (INSERT DATE 90  
DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Eligible Applicants: State vocational rehabilitation  
agencies, community rehabilitation programs, Indian tribes  
or tribal organizations, and other public or nonprofit  
agencies or organizations, including institutions of higher  
education.

Estimated Available Funds: The administration has  
requested \$2,100,000 for this program for FY 2005. The

actual level of funding, if any, depends on final congressional action. However, we are inviting applications to allow enough time to complete the grant process if Congress appropriates funds for this program. Funds under this competition will be used to support projects in FY 2005. Contingent upon the availability of funds and the quality of applications the Secretary may make additional awards in FY 2006 from the list of unfunded applicants from this competition.

Maximum Award: We will reject any application that proposes a budget exceeding \$700,000 for a single budget period of 12 months. The Assistant Secretary for the Office of Special Education and Rehabilitative Services may change the maximum amount through a notice published in the Federal Register.

Estimated Range of Awards: \$500,000 - \$700,000.

Estimated Average Size of Awards: \$600,000.

Estimated Number of Awards: 3.

Note: The Department is not bound by any estimates in this notice.

Project Period: Up to 60 months.

## Full Text of Announcement

### I. Funding Opportunity Description

Purpose of Program: This program provides grants to eligible entities to expand and improve the provision of rehabilitation services, including research and evaluation activities.

Priority: This priority is from the notice of final priority, definitions, and application requirements for this program, published in the Federal Register August 13, 2004, (69 FR 50258-50260).

Absolute Priority: For FY 2005 this priority is an absolute priority. Under 34 CFR 75.105(c)(3) we consider only applications that meet the priority, definitions, and application requirements.

This priority is:

Special Demonstration Programs--Model Demonstration Projects--Positive Psychology to Improve the Quality of Employment Outcomes for Vocational Rehabilitation (VR) Consumers Through Testing and Measuring the Effects of Three Techniques for Use Within State VR agencies and American Indian VR Services (AIVRS) projects. The three identified techniques are--learned optimism, strengths and virtues versus talents for employment and subjective well-being (SWB).

Special demonstration projects under this priority must focus on research-based positive psychology principles that adapt appropriate techniques for VR professionals to use to assist VR consumers served by State VR agencies or American Indian Vocational Rehabilitation services (AIVRS) projects to obtain meaningful postsecondary education and employment outcomes. The projects must test and measure the effects of all three techniques identified in this priority on achieving meaningful postsecondary education and employment outcomes. The projects must measure outcomes associated with each required technique. An applicant must be specific about what data it will collect in order to measure project outcomes against the established goals.

To meet the requirements an applicant must--

- (1) Describe the manner in which positive psychology strategies will increase participation in postsecondary education and employment outcomes for consumers served by State VR agencies or the AIVRS projects;

- (2) Adapt, test, and measure the impact of all three positive psychology strategies identified in this priority on increasing the level of optimism of consumers served by State VR agencies or the AIVRS projects and investigate the

relationship between learned optimism and consumers' outcomes;

(3) Adapt and develop positive psychology assessment tools to identify the strengths and virtues of individuals with disabilities, identify specific job environments that match specific strengths and virtues, pilot placement activities with individuals with disabilities based on the fit of their strengths and virtues, and investigate the relationship of consumers' strengths and virtues and meaningful postsecondary education and employment outcomes;

(4) Develop positive psychology strategies to enhance SWB of people with disabilities in the VR setting. Projects must investigate the relationship between these strategies and meaningful postsecondary education and employment outcomes;

(5) Design and implement an evaluation plan that--

(a) assesses the validity of the models tested and developed under this project;

(b) includes use of objective performance measures that are clearly related to the intended outcomes and goals of the project and will produce quantitative and qualitative data to the extent possible; and

(c) provides performance feedback and permits periodic assessment of progress toward achieving intended outcomes and goals; and

(6) Disseminate these strategies, as appropriate, to State VR agencies and the AIVRS projects, their service providers, and independent living centers funded by the Rehabilitation Services Administration and other agencies and entities funded under the Act.

Definitions:

For the purposes of this competition, the following definitions apply:

Learned optimism teaches people to become more hopeful, realistic, and flexible in their identification of and disputation of internal beliefs that result in rigid, pessimistic, and negative outcome expectations.

Strengths and virtues versus talents for employment is a theoretical concept that links the internal characteristics of individuals rather than specific functional skills or talents with employment success.

Subjective well-being is a measurement of an individual's positive view of himself or herself across a number of dimensions, including optimism, life satisfaction, engagement, health, and sense of purpose.

Program Authority: 29 U.S.C. 773(b).

Applicable Regulations: (a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, and 99. (b) The regulations for this program in 34 CFR part 373.



Note: The regulations in 34 CFR part 79 apply to all applicants except federally recognized Indian tribes.

Note: The regulations in 34 CFR part 86 apply to institutions of higher education only.

## II. Award Information

Type of Award: Discretionary grants.

Estimated Available Funds: The administration has requested \$2,100,000 for this program for FY 2005. The actual level of funding, if any, depends on final congressional action. However, we are inviting applications to allow enough time to complete the grant process if Congress appropriates funds for this program. Funds under this competition will be used to support projects in FY 2005. In FY 2006, the Assistant Secretary may consider funding high-quality applications submitted in FY 2005.

Maximum Award: We will reject any application that proposes a budget exceeding \$700,000 for a single budget period of 12 months. The Assistant Secretary for the Office of Special Education and Rehabilitative Services may change the maximum amount through a notice published in the Federal Register.

Estimated Range of Awards: \$500,000 - \$700,000.

Estimated Average Size of Awards: \$600,000.

Estimated Number of Awards: 3.

Note: The Department is not bound by any estimates in this notice.

Project Period: Up to 60 months.

### III. Eligibility Information

1. Eligible Applicants: State vocational rehabilitation agencies, community rehabilitation programs, Indian tribes or tribal organizations, and other public or nonprofit agencies or organizations, including institutions of higher education.

2. Cost Sharing or Matching: This program does not involve cost sharing or matching.

### IV. Application and Submission Information

1. Address to Request Application Package: Education Publications Center (ED Pubs), P.O. Box 1398, Jessup, MD 20794-1398. Telephone (toll free): 1-877-433-7827. FAX: (301) 470-1244. If you use a telecommunications device for the deaf (TDD), you may call (toll free): 1-877-576-7734.

You may also contact ED Pubs at its Web site: [www.ed.gov/pubs/edpubs.html](http://www.ed.gov/pubs/edpubs.html) or you may contact ED Pubs at its email e-mail address: [edpubs@inet.ed.gov](mailto:edpubs@inet.ed.gov)

If you request an application from ED Pubs, be sure to identify this competition as follows: CFDA number 84.235A.

Individuals with disabilities may obtain a copy of the application package in an alternative format (e.g., Braille, large print, audiotape, or computer diskette) by contacting the Grants and Contracts Services Team, U.S. Department of Education, 400 Maryland Avenue, SW., room 5075, Potomac Center Plaza Building, Washington, DC 20202-2550. Telephone: (202) 245-7363. If you use a telecommunications device for the deaf (TDD), you may call the Federal Information Relay Services (FIRS) at 1-800-877-8339.

2. Content and Form of Application Submission:

Requirements concerning the content of an application, together with the forms you must submit, are in the application package for this competition.

Page Limit: The application narrative (Part III of the application) is where you, the applicant, address the selection criteria that reviewers use to evaluate your application. We suggest you limit Part III to approximately 35 pages, using the following standards:

- A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.
- Double space (no more than three lines per vertical inch) all text in the application narrative, including titles, headings, footnotes, quotations, references, and

captions, as well as all text in charts, tables, figures, and graphs.

- Use a font that is either 12 point or larger.

The page limit does not apply to Part I, the cover sheet; Part II, the budget section, including the narrative budget justification; Part IV, the assurances and certifications; or the one-page abstract, the resumes, the bibliography, or the letters of support. However, you must include all of the application narrative in Part III.

### 3. Submission Dates and Times:

Applications Available: (INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Deadline for Transmittal of Applications: (INSERT DATE 45 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER).

We do not consider an application that does not comply with the deadline requirements.

Applications for grants under this competition may be submitted by mail or hand delivery (including a commercial carrier or courier service), or electronically using the Electronic Grant Application System (e-Application) available through the Department's e-GRANTS system. For information (including dates and times) about how to submit your application by mail or hand delivery, or

electronically, please refer to Section IV.6. Procedures for Submitting Applications in this notice.

Deadline for Intergovernmental Review: (INSERT DATE 90 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER).

4. Intergovernmental Review: This program is subject to Executive Order 12372 and the regulations in 34 CFR part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this competition.

5. Funding Restrictions: Indirect cost reimbursement for grants under this program is limited to the recipient's actual indirect costs, as determined by its negotiated indirect cost rate agreement, or 10 percent of the total direct cost base, whichever amount is less (34 CFR 373.22(a)). We reference additional regulations outlining funding restrictions in the Applicable Regulations section of this notice.

6. Procedures for Submitting Applications:

Applications for grants under this competition may be submitted electronically or in paper format by mail or hand delivery.

a. Electronic Submission of Applications.

If you submit your application to us electronically, you must use e-Application available through the

Department's e-GRANTS system. The e-GRANTS system is accessible through its portal page at: <http://e-grants.ed.gov>

If you use e-Application, you will be entering data online while completing your application. You may not e-mail an electronic copy of a grant application to us. The data you enter online will be saved into a database.

If you participate in e-Application, please note the following:

- Your participation is voluntary.
- You must submit your grant application

electronically through the Internet using the software provided on the e-Grants Web site (<http://e-grants.ed.gov>) by 4:30 p.m., Washington, DC time, on the application deadline date. The regular hours of operation of the e-Grants Web site are 6:00 a.m. Monday until 7:00 p.m. Wednesday; and 6:00 a.m. Thursday until midnight Saturday, Washington, DC time. Please note that the system is unavailable on Sundays, and after 7:00 p.m. on Wednesdays for maintenance, Washington, DC time. Any modifications to these hours are posted on the e-Grants Web site. We strongly recommend that you do not wait until the application deadline date to initiate an e-Application package.

- You will not receive additional point value because you submit your application in electronic format, nor will we penalize you if you submit your application in paper format.

- You must submit all documents electronically, including the Application for Federal Education Assistance (ED 424), Budget Information--Non-Construction Programs (ED 524), and all necessary assurances and certifications.

- Your e-Application must comply with any page limit requirements described in this notice.

- After you electronically submit your application, you will receive an automatic acknowledgement, which will include a PR/Award number (an identifying number unique to your application).

- Within three working days after submitting your electronic application, fax a signed copy of the Application for Federal Education Assistance (ED 424) to the Application Control Center after following these steps:

1. Print ED 424 from e-Application.
2. The applicant's Authorizing Representative must sign this form.
3. Place the PR/Award number in the upper right hand corner of the hard copy signature page of the ED 424.

4. Fax the signed ED 424 to the Application Control Center at (202) 245-6272.

- We may request that you give us original signatures on other forms at a later date.

Application Deadline Date Extension in Case of System

Unavailability: If you are prevented from submitting your application on the application deadline date because the e-Application system is unavailable, we will grant you an extension of one business day in order to transmit your application electronically, by mail, or by hand delivery. We will grant this extension if--

1. You are a registered user of e-Application and you have initiated an e-Application for this competition; and

2. (a) The e-Application system is unavailable for 60 minutes or more between the hours of 8:30 a.m. and 3:30 p.m., Washington, DC time, on the application deadline date; or

- (b) The e-Application system is unavailable for any period of time during the last hour of operation (that is, for any period of time between 3:30 p.m. and 4:30 p.m., Washington, DC time) on the application deadline date.

We must acknowledge and confirm these periods of unavailability before granting you an extension. To request this extension or to confirm our acknowledgement of



any system unavailability, you may contact either (1) the person listed elsewhere in this notice under For Further Information Contact (see VII. Agency Contact) or (2) the e-GRANTS help desk at 1-888-336-8930.

You may access the electronic grant application for the Special Demonstration Programs--Model Demonstration Projects--Positive Psychology competition at: <http://e-grants.ed.gov>

b. Submission of Paper Applications By Mail.

If you submit your application in paper format by mail (through the U.S. Postal Service or a commercial carrier), you must send the original and two copies of your application on or before the application deadline date to the following address:

By mail through the U.S. Postal Service:

U.S. Department of Education  
Application Control Center  
Attention: (CFDA Number 84.235A)  
400 Maryland Avenue, SW.  
Washington, DC 20202

or

By mail through a commercial carrier:

U.S. Department of Education  
Application Control Center--Stop 4260  
Attention: (CFDA Number 235A)  
7100 Old Landover Road  
Landover, MD 20785-1506

The Application Control Center will mail a Grant Application Receipt Acknowledgment to you. If you do not receive the notification of application receipt within 15 business days from the mailing of your application, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

You must show proof of mailing consisting of one of the following:

1. A legibly dated U.S. Postal Service Postmark;
2. A legible mail receipt with the date of mailing stamped by the U.S. Postal Service;
3. A dated shipping label, invoice, or receipt from a commercial carrier; or
4. Any other proof of mailing acceptable to the U.S. Secretary of Education.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

1. A private metered postmark, or
2. A mail receipt that is not dated by the U.S. Postal Service.

If your application is postmarked after the application deadline date, we will notify you that we will not consider the application.

Note: Applicants should note that the U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

c. Submission of Paper Applications by Hand Delivery.

If you submit your application in paper format by hand delivery, you (or a courier service) must deliver the original and two copies of your application on or before the application deadline date to the following address:

U.S. Department of Education  
Application Control Center  
Attention: (CFDA Number 84.235A)  
550 12th Street, SW.  
Room 7041, Potomac Center Plaza  
Washington, DC 20202-4260

The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30 p.m., Washington, DC time, except Saturdays, Sundays and Federal holidays. A person delivering an application must show identification to enter the building.

Note for Mail or Hand Delivery of Paper Applications: If you mail or hand deliver your application to the Department:

1. You must indicate on the envelope and--if not provided by the Department--in Item 4 of the Application for Federal Education Assistance (ED 424 (exp. 11/30/2004)).

the CFDA number - and suffix letter, if any - of the competition under which you are submitting your application.

2. The Application Control Center will mail a Grant Application Receipt Acknowledgment to you. If you do not receive the notification of application receipt within 15 days from the mailing of your application, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

#### V. Application Review Information

Selection Criteria: The selection criteria for this program are in the application package.

#### VI. Award Administration Information

1. Award Notices: If your application is successful, we notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN). We may also notify you informally.

If your application is not evaluated or not selected for funding, we notify you.

2. Administrative and National Policy Requirements: We identify administrative and national policy requirements in the application package and reference these and other requirements in the Applicable Regulations section of this notice.

We reference the regulations outlining the terms and conditions of an award in the Applicable Regulations section of this notice and include these and other specific conditions in the GAN. The GAN also incorporates your approved application as part of your binding commitments under the grant.

3. Reporting: At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multi-year award, you must submit an annual performance report that provides the most current performance and financial expenditure information as specified by the Secretary in 34 CFR 75.118.

4. Performance Measures: All grantees must submit an annual performance report documenting their evaluation findings. This report must describe whether the developed and tested model was successful in improving the quality of employment outcomes for individuals with disabilities. The report must include, but is not limited to, the following information: objective performance measures that clearly relate to the intended outcomes and goals and that are used to assess progress in achieving the intended outcomes and goals. Each grantee must report annually on this

information using the Rehabilitation Services Administration Annual Reporting Form for Special Demonstration Grants, OMB# 1820-0646, an electronic grantee reporting system.

#### VII. Agency Contact

For Further Information Contact: Alfreda Reeves, U.S. Department of Education, 400 Maryland Avenue, SW., room 5040, Potomac Center Plaza, Washington, DC 20202-2800. Telephone: (202) 245-7485 or by email [alfreda.reeves@ed.gov](mailto:alfreda.reeves@ed.gov) .

If you use a telecommunications device for the deaf (TDD), you may call the Federal Information Relay Service (FIRS) at 1-800-877-8339.

Individuals with disabilities may obtain this document in an alternative format (e.g., Braille, large print, audiotape, or computer diskette) on request to the program contact person listed in this section.

#### VIII. Other Information

Electronic Access to This Document: You may view this document, as well as all other documents of this Department published in the Federal Register, in text or Adobe Portable Document Format (PDF) on the Internet at the following site: [www.ed.gov/news/fedregister](http://www.ed.gov/news/fedregister)

To use PDF you must have Adobe Acrobat Reader, which is available free at this site. If you have questions about using PDF, call the U.S. Government Printing Office (GPO), toll free, at 1-888-293-6498; or in the Washington, DC, area at (20) 512-1530.

Note: The official version of this document is the document published in the Federal Register. Free Internet access to the official edition of the Federal Register and the Code of Federal Regulations is available on GPO Access at: [www.gpoaccess.gov/nara/index.html](http://www.gpoaccess.gov/nara/index.html)

Dated:

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John H. Hager,  
Assistant Secretary  
for Special Education and  
Rehabilitative Services.